



Smart decisions. Lasting value.™

Crowe benefit plan audits

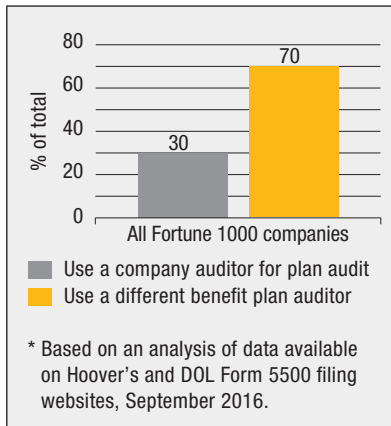
Smart decisions. Lasting value.™

Specialization, project management, technology, and/or cost savings are some of the reasons Fortune 1000 companies have unbundled their benefit plan audits from their company auditor and engaged Crowe.

Is your company still bundling the plan audits with the company auditor?

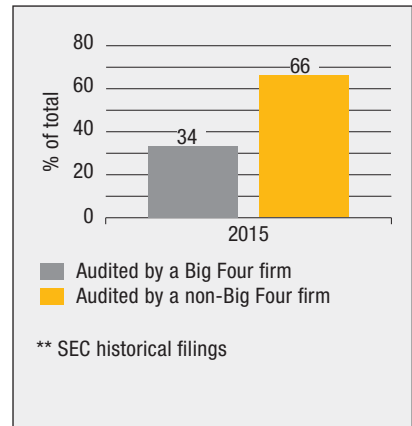
The Crowe plan audit group summary	
Number of annual plan audits	> 1,200
Number of plans audited with assets > \$100M	> 235
Number of plans audited with > 1,000 participants in plan	> 400
Number of plans we audit that were previously audited by a Big Four firm	> 260
Number of plan audit engagements with three or more audited plans	> 85
Number of states where plan audits are performed by Crowe	43

Fortune 1000 companies plan audit statistics*



Seventy percent of Fortune 1000 companies use a firm other than their company auditor to audit their benefit plans.

SEC-registered 11-K plan audit statistics**



Sixty-six percent of SEC-registered (11-K) plans are now audited by a non-Big Four firm compared to only 23% in 2004.

Emphasis on planning

We believe the planning phase is the most critical phase for an efficient audit, and that it starts with development of an audit project timeline that best meets your audit needs. Effective communication and management of the information-gathering process help improve efficiency and reduce the amount of time your organization spends to support the audit.

“Crowe is very thoughtful in their audit planning and communicates deliverables well in advance.”

– Investment/Treasury Manager, \$15B public company

“Extremely knowledgeable at all levels, great planning, no surprises. We strive to have the best service providers in every area: money managers, custodians, actuary, attorney, investment consultants. I believe we have that with Crowe. They are truly specialists in the employee benefits audit area.”

– Director of Retirement Programs, organization with over \$7B in plan assets



Superior project management

Project management is a core competency – and the more complexity and number of plans you have, the more Crowe stands apart from other accounting firms. Our goal is to exchange information efficiently, minimize interruptions for your staff, and be mutually accountable for achieving audit milestone dates.

“Extremely happy with client service, audits completed on time and within budget, always available to answer questions that come up.”

– Investments manager, international law firm with more than 1,500 attorneys

“Very professional, organized.”

– Director of benefits, Fortune 100 company

“Crowe staff is professional, responsive, and timely.”

– Director, internal audit of not-for-profit organization with 8,000 participants

Use of specialized staff

Most CPA firms use auditors who are seasonal benefit plan auditors. Crowe benefit plan audit career specialists, on average, work more than 1,400 hours annually performing plan audits and have the experience to provide a more efficient audit. This also allows for greater staff continuity from year to year.

“I prefer doing business with Crowe since the firm has an actual career track for employee benefit auditors as opposed to a revolving door of new accountants that I have to train.”

– Director of benefits, public company with more than 6,000 participants

“Crowe’s expertise in the employee benefit audit arena is unmatched in the industry.”

– Retirement plan manager, public company with more than 10,000 participants

“Professional, pleasant, experienced staff, courteous. I really like their system called CiRT which tracks the data exchanged.”

– Treasury analyst, national membership organization

Experience is the difference

Use of technology

The CiRT solution is a secure, web-based audit tool designed to improve documentation gathering and communication as well as streamline project management. It allows for 24/7 information exchange, task allocation, up-to-date status reporting, work flow management, and retention of prior-year activity for easy reference.

“Crowe has amazing technology which can make the project management process hands-off or hands-on.”

– Human resources director, university with 1,400 participants

“I like the CiRT tool. Great project planning and oversight.”

– Benefits accounting manager, public company with several plans across multiple locations

“Great team of auditors. The CiRT website is fantastic.”

– Benefits manager, international law firm with more than 2,000 attorneys

The CiRT® solution

With this innovative technology solution, clients can manage workflow through a centralized individual who can:

- Assign and delegate requests to other company personnel
- Track project activity by assigned individual, track due date, and track project status via a user friendly, easy-to-use dashboard interface
- Quickly view and monitor project progress with an at-a-glance report feature

Through a single portal, the CiRT solution allows you to gain visibility into multiple projects. That means you can expect fewer duplicate requests for information and improved consistency and efficiency.

To learn more or schedule a product demo, visit www.crowe.com/cirt.

ABC Company Inc.

REQUEST STATUS

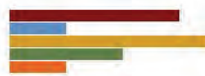
CROWE CONTACT

My Projects

ABC Company Inc. - 12/31/2012

Account Preferences

Manage Users



Work: [User Profile]



Welcome Cosmo Controller (ABC Company Inc. - 12/31/2012)



REQUESTS

QUESTIONS

REPORTING

LEARNING CENTER



My Requests (7) All Requests (21)

Change Owner

- Cosmo Controller
- Paul Payroll
- Abby Accountant
- Bobby Demo
- Candy Clerk
- Ricky Demo

Cancel

Submit

Owner	Due Date	Status
by accountant	11/23/2012	←
by accountant	10/10/2012	→
G100.01-IR Inventory Listing- YE	Candy Clerk	10/12/2012 ←
G100.04-IR Inventory Reconciliation	Candy Clerk	10/12/2012 ←
K100.01-IR Accounts Payable Listing	Cosmo Controller	1/24/2013 →
K100.05-IR Accounts Payable Reconciliation	Cosmo Controller	1/24/2013 ✓
Z115.01-IR Subsequent Interim F/S	Cosmo Controller	10/10/2012 →
P105.10-IR Other Comprehensive Income Transactions	Cosmo Controller	10/10/2012 ←
P105.11-IR Buy-Sell Agreements	Cosmo Controller	10/10/2012 →
Q125.01-IRCx Other Income and Expense (Private Equity) - Fluctuation Analysis	Cosmo Controller	10/10/2012 ←
Q125.01-IRC Other Income and Expense-Fluctuation Analysis	Cosmo Controller	10/10/2012 ✓
C105.01-IR Bank Reconciliations-Interim	Paul Payroll	11/23/2012 ←
C105.02-IR Bank Reconciliations-YE	Paul Payroll	10/25/2012 ←

Documentation Request

Request ID: K100.01-IR
 Request Name: Accounts Payable Listing
 Requested By:
 Due Date: 1/24/2013
 Status: Submitted
 Period: 12/31/2012
 Owner: Cosmo Controller
 Priority: High Medium Low

Public

Save

Un-Submit

Instructions

Provide the accounts payable aging as of year end. Account payable aging should include invoice number, vendor number, vendor name, date, and amount due.

Response

The documents you requested are attached. Please let me know if you require additional information for the Accounts Payable Aging reports or any other supporting documentation. Thank you.

Documents Uploaded: 4

- Accounts Payable Aging.xlsx
- Accounts Payable Aging_2.xlsx
- Supporting Schedules.pdf
- Supporting Schedules_2.pdf

Previous Year Attachments

- Accounts Payable Aging.xlsx
- Supporting Schedules.pdf

- Tracking
- Request History
- Attachment History

Questions: 2 of 2

View All



Cosmo Controller asked
 10/11/2012 1:05:55 PM

Is it okay to send the supporting schedules in PDF format?

Close Question



Cosmo Controller asked
 10/11/2012 12:57:38 PM

Is it absolutely necessary to include the vendor number on the reports?

Close Question

Ask a question or post a comment:

Text input field for asking questions or posting comments.



Learn more

John Czarnecki

Partner

+1 630 574 1643

john.czarnecki@crowe.com

Mike Hoffman

+1 646 231 7298

michael.hoffman@crowe.com

crowe.com/cirt

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